



2019

Shareholder information



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Stock exchange quotation

Shares are quoted on the ASX under the code "BRL".

Classes of securities

The following equity securities are on issue:

		Number on issue	Number of holders
Quoted	Ordinary shares, each fully paid	1,706,964,431	2,677
Unquoted	Convertible notes of NZD \$1,150 converting to 26,667 shares per note, maturing 1 February 2021	5,600	8
	Director performance rights exercisable at \$nil, vesting 31 March 2020	2,555,000	4
	LTIP performance rights exercisable at \$nil, vesting 30 January 2022	4,590,909	2

Voting rights

Only holders of ordinary shares have voting rights. These are set out in Clause 21.5 of the Company's constitution and are summarised as follows:

- Where voting is by show of hands or by voice, every shareholder present in person or by proxy or representative has one vote.
- On a poll every shareholder present in person or by representative has, in respect of each fully paid share held by that shareholder, one vote.

Holders of convertible notes and performance rights have no voting rights until the instruments are converted/exercised into ordinary shares.

Restricted securities

There are no restricted securities or securities subject to voluntary escrow.

On-market share buy-backs

An on-market share buy-back was announced on 28 August 2018, approving the buy-back of up to 75.0 million shares, representing approximately 4.70 percent of the shares on issue at that date. The duration of the buy-back was extended on the 27 August 2019 for a further 12 months, now ending on 28 August 2020. Up to and including 30 September 2019, 30.5 million shares had been bought back.

Distribution of quoted equity securities

Holding range	Total shareholders	Ordinary shares
1 – 1,000	169	14,075
1,001 – 5,000	287	1,228,408
5,001 – 10,000	476	3,980,177
10,001 – 100,000	1,253	50,248,068
100,001 and over	492	1,651,493,703
TOTAL	2,677	1,706,964,431

There are 478 shareholders holding less than a marketable parcel of ordinary shares as determined by the ASX (parcels valued at AUD \$500 or less) based on the closing price of AU 9.5¢ per share.

Substantial holders

The Company's record of substantial shareholdings (5 percent or more) based on notices from shareholders:

	Number held	Percentage of issued shares
Republic Investment Management Pte Limited ("RIM")	400,578,041	23.5%
Talley's Group Limited	206,593,060	12.1%
Asian Dragon Acquisitions Limited	106,716,841	6.3%

Approval was given by shareholders at the November 2018 AGM with specific respect to the Takeovers Code (New Zealand) for RIM to hold more than 20 percent of the Company's shares, as a result of the on-market share buy-back and the conversion of convertible notes held by RIM. RIM at the date of this report still hold 500 of the convertible notes disclosed on the previous page, which if converted will convert into 13,333,333 ordinary shares. This would give RIM a maximum holding of 24.1 percent of the voting rights, based on current issued shares.

Corporate governance statement

The Corporate Governance Statement is available on the Company's website at www.bathurst.co.nz

Top 20 shareholders

		Number of shares held	Percentage of issued shares
1.	HSBC Custody Nominees (Australia) Limited	519,392,413	30.43
2.	Citicorp Nominees Pty Limited	279,331,950	16.36
3.	JP Morgan Nominees Australia Limited	118,202,936	6.92
4.	Chng Seng Chye	91,878,788	5.38
5.	BNP Paribas Nominees Pty Limited <IB AU Noms Retailclient DRP>	78,976,185	4.63
6.	Teo Peng Kwang	38,932,124	2.28
7.	Armada Trading Pty Ltd	29,114,272	1.71
8.	AFE Investments Pty Limited	27,888,773	1.63
9.	Ang Poon Liat	22,002,727	1.29
10.	National Nominees Limited	21,070,259	1.23
11.	John McCallum	20,392,966	1.19
12.	Karamjit Singh Narula	18,181,818	1.07
13.	Richard Tacon	14,948,027	0.88
14.	Rich Trend Ventures Limited	13,333,333	0.78
15.	BNP Paribas Noms Pty Ltd <DRP>	12,993,384	0.76
16.	DBS Vickers Securities (Singapore) Pte Ltd <Client Account>	11,363,636	0.67
17.	San Tiong Ng	10,000,000	0.59
18.	Chow Shook Lin	9,090,909	0.53
19.	Invia Custodian Pty Limited <Hargreaves S/Fund A/C>	9,045,454	0.53
20.	Treadstone Resource Partners Pty Ltd	7,272,727	0.43
Total top 20 shareholders		1,353,412,681	79.29
Total remaining shareholders		353,551,750	20.71



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